

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

01398/HG

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/869,949

INTERNATIONAL APPLICATION NO.  
PCT/JP00/00095INTERNATIONAL FILING DATE  
12 January 2000PRIORITY DATE CLAIMED  
12 January 1999

TITLE OF INVENTION

THERAPEUTIC AGENTS FOR CORNEAL DISORDERS

APPLICANT(S) FOR DO/EO/US Teruo NISHIDA; Katsuhiko NAKATA; and Masatsugu NAKAMURA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).\*\*
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

\*One Declaration signed by inventor NISHIDA  
and a second Declaration signed by inventors  
NAKATA and NAKAMURA

Express Mail Mailing Label No.:

EL 922 087 900 US

Date of Deposit:

August 6, 2001

I hereby certify that this paper and any papers identified herein  
is being deposited with the United States Postal Service "Express  
Mail Post Office to Addressee" service under 37 CFR 1.10 on the  
date indicated above and is addressed to the Assistant  
Commissioner for Patents, Washington, D.C. 20231



Lori Valdes

09/869,949

PCT/JP00/00095

01398/HG

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,000.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

130.00

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE                                      |             |
|---|--------------|--------------|---|-------------|
| Total claims                                | - 20 =       |              | <input checked="" type="checkbox"/> 18.00 | \$          |
| Independent claims                          | - 3 =        |              | <input checked="" type="checkbox"/> 80.00 | \$          |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              |   | + 270.00 \$ |

TOTAL OF ABOVE CALCULATIONS =

\$

130.00

Reduction of 1/2 for filing by small entry, if applicable. Verified Small Entry Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$

130.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

130.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

TOTAL FEES ENCLOSED =

\$

130.00

Amount to be:

\$

charged

\$

a. ☒ A check in the amount of \$ 130.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1378. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C.  
767 Third Avenue - 25th Floor  
New York, NY 10017-2023

Tel. No. (212) 319-4900

Fax No. (212) 319-5101

Date: August 6, 2001

SIGNATURE

Herbert Goodman

NAME

17,081

REGISTRATION NUMBER